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Appendix A: Claims as Currently Presented

Claims 1 - 24 have been canceled.

1.	Claim 25: A method of searching and updating indexes to a data structure in a multi-processing
2	environment, comprising steps of:
3	maintaining two indexes to a single data structure, a first index for searching and a second
4	index for updating;
5	responsive to each update of the second index, switching the indexes so that the first index
6	becomes the second index and the updated second index becomes the first index;
7	allowing searches that are in progress using the first index, before the switching, to
8	continue until completion after the switching, using the newly-switched second index;
9	after the switching, initiating new searches using the newly-switched first index;
10	when all searches in the newly-switched second index have completed, updating the
11	newly-switched second index in an identical manner as the update to which the switching step was
12	responsive; and
13	preventing another operation of the switching step until completion of the step of updating
14	the second index in the identical manner.
	Claim 26 has been canceled.
1	Claim 27: A program product storage medium containing computer instructions that when

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executed in a computer perform a method of searching and updating indexes to a data structure in

3	a multi-processing environment, the method comprising steps of:
4	maintaining two indexes to a single data structure, a first index for searching and a second
5	index for updating;
6	responsive to each update of the second index, switching the indexes so that the first index
7	becomes the second index and the updated second index becomes the first index;
8	allowing searches that are in progress using the first index, before the switching, to
9	continue until completion after the switching, using the newly-switched second index;
10	after the switching, initiating new searches using the newly-switched first index;
11	when all searches in the newly-switched second index have completed, updating the
12	newly-switched second index in an identical manner as the update to which the switching step was
13	responsive; and
14	preventing another operation of the switching step until completion of the step of updating
15	the second index in the identical manner.
	Claim 28 has been canceled.
1	Claim 29: A computer program product for serializing data structure retrievals and updates in a
2	multi-processing computer system, the computer program product embodied on one or more
3	computer-readable media and comprising:
4	computer-readable program code means for creating two identical data structures, both
5	representing an initial state for accessing a single copy of stored data;
6	computer-readable program code means for performing searches against a first of the two
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data structures, the computer-readable program code means for performing searches further
comprising a first program instruction for incrementing a search use count for the first data
structure atomically during each search to ensure no interference from other processes during that
search and a second instruction for decrementing the search use count for the first data structure
atomically after performing each search;

computer-readable program code means for performing a first update against a second of the two data structures, yielding a revised data structure;

computer-readable program code means for switching the first data structure and the revised data structure, responsive to completion of the computer-readable program code means for performing the first update, such that the first data structure becomes the second data structure and the revised data structure becomes the first data structure, the computer-readable program code means for switching the data structures further comprising a third instruction for re-ordering data structure pointers atomically to prevent interference from other processes during operation of the computer-readable program code means for switching; and

computer-readable program code means for applying, after operation of the computerreadable program code means for switching, the first update against the second data structure, yielding a second data structure that is structurally identical to the first data structure;

the computer-readable program code means for performing searches further comprising computer-readable program code means for activating the computer-readable program code means for applying the first update against the second data structure when the search use count for the second data structure has a value indicating that no searches are being performed against the second data structure.

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- 1 Claim 30: The computer program product according to Claim 29, further comprising:
- 2 computer-readable program code means for obtaining an exclusive lock on the second
- 3 data structure prior to operation of the computer-readable program code means for performing
- 4 the first update; and
- 5 computer-readable program code means for releasing the exclusive lock after operation of
- 6 the computer-readable program code means for applying the first update.
- 1 Claim 31: The computer program product according to Claim 29, wherein the computer-readable
- 2 program code means for performing the first update further comprises computer-readable
- 3 program code means for queuing a transaction that specifies one or more data structure traversals
- 4 and one or more data structure modifications that were performed to yield the revised data
- structure, and wherein the computer-readable program code means for applying the first update
- further comprises computer-readable program code means for performing the one or more data
- 5 structure traversals and the one or more modifications specified in the queued transaction against
- 8 the second data structure that results from operation of the computer-readable program code
- 9 means for switching.
- Claim 32: The computer program product according to Claim 29, further comprising computer-
- 2 readable program code means for performing a subsequent update against the second data
- 3 structure that results from operation of the computer-readable program code means for applying
- 4 the first update; and wherein operation of the computer-readable program code means for

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5	performing the subsequent update causes another operation of the computer-readable program
6	code means for switching and the computer-readable program code means for applying.
1	Claim 33: A computer system for serializing data structure retrievals and updates in a multi-
2	processing computer system, the computer system comprising:
3	means for creating two identical data structures, both representing an initial state for
4	accessing a single copy of stored data;
5	means for performing searches against a first of the two data structures, the means for
6	performing searches further comprising means for incrementing a search use count for the first
7	data structure atomically during each search to ensure no interference from other processes
8	during that search and means for atomically decrementing the search use count for the first data
9	structure after performing each search;
10	means for performing a first update against a second of the two data structures, yielding a
11	revised data structure;
12	means for switching the first data structure and the revised data structure, responsive to
13	completion of the means for performing the first update, such that the first data structure becomes
14	the second data structure and the revised data structure becomes the first data structure, the
15	means for switching the data structures further comprising means for re-ordering data structure
16	pointers atomically to prevent interference from other processes during operation of the means
17	for switching; and
18	means for applying, after switching the data structures, the first update against the second
19	data structure, yielding a second data structure that is structurally identical to the first data

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- the means for performing searches further comprising means for activating the means for applying the first update against the second data structure when the search use count for the second data structure has a value indicating that no searches are being performed against the second data structure.
- 1 Claim 34: The system according to Claim 33, further comprising:
- 2 means for obtaining an exclusive lock on the second data structure prior to operation of 3 the means for performing the first update; and
 - means for releasing the exclusive lock after operation of the means for applying the first update.
 - Claim 35: The system according to Claim 33, wherein the means for performing the first update further comprises means for queuing a transaction that specifies one or more data structure traversals and one or more data structure modifications that were performed to yield the revised data structure, and wherein the means for applying the first update further comprises means for performing the one or more data structure traversals and the one or more data structure modifications specified in the queued transaction against the second data structure that results
- Claim 36: The system according to Claim 33, further comprising means for performing a subsequent update against the second data structure that results from operation of the means for

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from operation of the means for switching.

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3	applying the first update; and wherein operation of the means for performing the subsequent
4	update causes another operation of the means for switching and the means for applying.
1	Claim 37: A method for serializing data structure retrievals and updates in a multi-processing
2	computer system, comprising steps of:
3	creating two identical data structures, both representing an initial state for accessing a
4	single copy of stored data;
5	performing searches against a first of the two data structures, the performing searches step
6	further comprising the step of incrementing a search use count for the first data structure
7	atomically during each search to ensure no interference from other processes during the search
8	and the step of decrementing the search use count for the first data structure atomically after
9	performing each search;
10	performing a first update against a second of the two data structures, yielding a revised
11	data structure;
12	switching the first data structure and the revised data structure, responsive to completion
13	of the step of performing the first update, such that the first data structure becomes the second
14	data structure and the revised data structure becomes the first data structure, the step of
15	switching the data structures further comprising the step of re-ordering data structure pointers
16	atomically to prevent interference from other processes during operation of the switching step;
17	and
18	applying, after the switching step, the first update against the second data structure,
19	yielding a second data structure that is structurally identical to the first data structure;

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20	the step of performing searches further comprising the step of activating the step of
21	applying the first update against the second data structure when the search use count for the
22	second data structure has a value indicating that no searches are being performed against the
23	second data structure.

- Claim 38: The method according to Claim 37, further comprising steps of:
- 2 obtaining an exclusive lock on the second data structure prior to performing the first 3 update; and
 - releasing the exclusive lock after applying the first update.
- 1 Claim 39: The method according to Claim 37, wherein the step of performing the first update further comprises the step of queuing a transaction that specifies one or more data structure 2 traversals and one or more data structure modifications that were performed to yield the revised 3 data structure, and wherein the step of applying the first update further comprises the step of 4 5 performing the one or more data structure traversals and the one or more data structure modifications specified in the queued transaction against the second data structure that results 6 7 from operation of the switching step.
- Claim 40: The method according to Claim 37, further comprising the step of performing a 1
- subsequent update against the second data structure that results from applying the first update; 2
- and wherein the step of performing the subsequent update causes repeating the switching step and 3
- 4 the applying step.

_	Oradin 41. A method for serializing data retrievals and updates in a computing environment,
2	comprising steps of:
3	creating two identical indexes, both representing an initial state for accessing stored data
4	and each indexing a single copy of the stored data;
5	performing searches against a first of the two indexes;
6	performing a first update against a second of the two indexes, yielding a revised index;
7	serializing information describing a traversal path taken through the second index for
8	making the first update and one or more modifications made to the second index in the first
9	update;
10	switching the first index and the revised index, responsive to performing the first update,
11	such that the first index becomes the second index and the revised index becomes the first index;
12	applying, after the switching step, the first update to the second index, using the serialized
13	information describing the traversal path and the one or more modifications to traverse and
14	modify the newly-switched second index, thereby yielding a second index that is synchronized
15	with, and structurally identical to, the first index; and
16	performing subsequent searches against the first index.
1	Claim 42: The method according to Claim 41, further comprising the step of performing a
2	subsequent update against the second index that results from applying the first update; and
3	wherein the step of performing the subsequent update causes repeating the serializing, switching,
4	and applying steps.
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1	Claim 43: A method of serializing access to data in a computing system, comprising steps of:
2	maintaining two trees as indexes to a single copy of data, a first of which is used for
3	searches and a second of which is used for update operations, each tree having a use count
4	associated therewith;
5	carrying out searches using the search tree, further comprising the steps of:
6	determining, for each new search request, which of the trees is currently the search
7	tree;
8	incrementing the use count for the search tree;
9	performing the new search request using the search tree; and
10	decrementing the use count for the search tree, responsive to completion of the
11	performing step; and
12	carrying out each update using the update tree, further comprising the steps of:
13	determining which of the trees is currently the update tree;
14	performing an update to the update tree;
15	serializing a record describing the update to the update tree;
16	switching the update tree to become the search tree and the search tree to become
17	the update tree, responsive to completion of the steps of performing the update and serializing the
18	record; and
19	applying the serialized record to the newly-switched update tree, provided that the
20	use count for the newly-switched update tree has reached a value that indicates that no search
21	requests are currently being performed against this newly-switched update tree, delaying the step
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- of applying the serialized record if necessary until the use count for the newly-switched update
- tree has reached this value, and wherein the step of applying the serialized record ensures that
- both the search tree and the update tree reflect each update.
 - Claim 44: The method according to Claim 41, wherein the indexes are implemented as trees.
 - 1 Claim 45: The method according to Claim 41, wherein the indexes are implemented as hash
 - 2 tables.